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	OLICITATION NUMBER R-CI-03-10115	4. TYPE (	OF SOLICITA' SEALED BI NEGOTIAT (RFP)	D (IFB)	5. DATE ISSUED 3/21/03			6. REQUISITION, NUMBER PR-CI-03			
7. ISSUED BY (Hand Delivered/Overnight Commercial Carriers)		ADDRESS OFFER TO (If other than Item 7)     (U. S. Mail Only)									
Environmental Protection Agency Cincinnati Procurement Operation 4411 Montgomery Road Norwood, OH 45212		Cincii 26 W.	nnati P	rocure Luthe	tection Agencement Operation Fr King Drive 268		sion				
NOTE: In sealed bid solicitations "offer" and "offeror" m	ean "bid" and "bidder"	SOL	ICITATION								
g. Sealed offers in original and 1 copies for furnis located in item 7 until 03:00 PM (hour) (Date)  CAUTION - LATE Submissions, Modifications, and With	e 4/22/03 drawals: See Section L, Prov			1 All offers	are subje	ect to all terms and con	ditions contair	ned in this solicitation.			
7	NAME 1MY A. ADAMS			B. 1 AREA CO 513		NUMBER 487-2030	EXT.	c. E-MAIL ADDI adams.tamr .gov			
(X) SEC. DESC	RIPTION	11. TABLE PAGE (S)	E OF CONTE (X)	NTS SEC.		DE	SCRIPTION		PAGE (S)		
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E INSPECTION AND AC	FORMANCE			К	ST	PRESENTATIONS, C ATEMENTS OF OFF	ERORS	, 			
G CONTRACT ADMINIS H SPECIAL CONTRACT				L M		STRS., CONDS., AND ALUATION FACTOR:					
II SELUAL CONTINACT		FER (Must be t	fully complete			ALOATION FACTOR	31 OK AWAI	\D			
NOTE: Item 12 does not apply if the solicitation includes  12. In compliance with the above, the undersigned agreeipt of offers specified above, to furnish any or a  13. DISCOUNT FOR PROMPT PAYMENT (See Section I, Clause 52-232-8)	ees, if this offer is accepted w	vithin	calender da price set oppo	ys (120 cal	em, delive			d by the offeror) from the the time specified in th	e schedule.		
14. ACKNOWLEDGMENT OF	AMENDMENT NO.		DATE		DATE	DATE					
AMENDMENTS (The offeror acknowledges receipt of amendments to the SOLICITATION for											
offerors and related documents											
numbered and dated:)  15A. NAME AND  ADDRESS OF  OFFEROR  CODE  FACILITY  16. NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (Type or NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (TYPE OR NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (TYPE OR NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (TYPE OR NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (TYPE OR NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (TYPE OR NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (TYPE OR NAME AND TITLE OF TYP							ype or print)				
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22. AUTHORITY FOR USING OTHER THAN FULL [] 10 U.S.C. 2304(c)( ) [] 41 U.S.C. 25	23. SUBMIT INVOICES TO ADDRESS SHOWN IN (4 copies unless otherwise specified)										
24. ADMINISTERED BY (If other than item 7)	25. PA	YMENT W	/ILL BE M	ADE BY		CODE:					
				tection Agenc							
	Mana	gemen	it Cent	Park Financi ter (D143-02)							
26. NAME OF CONTRACTING OFFICER ( Type or	r print)					Park, NC 277	711	28. A	WARD DATE		
. 7				(	Sianature	of Contracting Officer)	1				

IMPORTANT - Award will be made on this Form, or on Standard Form 26, or by other authorized official written notice.

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Previous edition is unusable

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#### PART I - THE SCHEDULE

#### SECTION B - SUPPLIES OR SERVICES AND PRICES/COSTS

The fixed price of this contract is as follows:

### B.1 CONSIDERATION AND PAYMENT--TOTAL FIXED PRICE (EP 52.216-160) (APR 1984)

Report Deliverable 2: \_\_\_\_\_\_ 30% of total contract price

Report Deliverable 4: \_\_\_\_\_ 40% of total contract price

Fixed Price

Report Deliverable 6: \_\_\_\_\_ 30% of total contract price

Fixed Price

Grand Total: \_\_\_\_\_ 100%

Payments are authorized upon completion and acceptance (by the Project Officer) of the task reports as listed in Clause F.4, Delivery Schedule.

Fixed Price

#### SECTION C - DESCRIPTION/SPECIFICATIONS/WORK STATEMENT

# C.1 NOTICE REGARDING PROHIBITED CONTRACTOR ACTIVITIES ON ENVIRONMENTAL PROTECTION AGENCY (EPA) CONTRACTS (EP 52.000-000) (NOV 1994)

The Contractor shall not perform any of the following activities on behalf of EPA in connection with this contract:

- 1. The actual preparation of Congressional testimony.
- 2. The interviewing or hiring of individuals for employment at EPA.
- 3. Developing and/or writing of Position Descriptions and Performance Standards.
- 4. The actual determination of Agency policy.
- 5. Participating as a voting member on a Performance Evaluation Board; participating in and/or attending Award Fee meetings.
- 6. Preparing Award Fee Letters, even under typing services contracts.
- 7. The actual preparation of Award Fee Plans.
- 8. The preparation of documents on EPA Letterhead other than routine administrative correspondence.
- 9. Reviewing vouchers and invoices for the purposes of determining whether costs, hours, and work performed are reasonable.
- 10. The preparation of Statements of Work, Work Assignments, Technical Direction Documents, Delivery Orders, or any other work issuance document under a contract that the contractor is performing or may perform. Such a work issuance document, prepared by an EPA prime contractor under an EPA prime contract for its subcontractor, is exempt from this prohibition.
- 11. The actual preparation of responses to audit reports from the Inspector General, General Accounting Office, or other auditing entities.
- 12. Preparing responses to Congressional correspondence.
- 13. The actual preparation of responses to Freedom of Information Act requests, other than routine, non-judgmental correspondence.
- 14. Any contract which authorizes a contractor to represent itself as EPA to

outside parties.

- 15. Conducting administrative hearings.
- 16. Reviewing findings concerning the eligibility of EPA employees for security clearances.
- 17. The actual preparation of an office's official budget request.

#### C.2 STATEMENT OF WORK/SPECIFICATIONS (EP 52.210-100) (APR 1984)

The Contractor shall furnish the necessary personnel, material, equipment, services and facilities (except as otherwise specified), to perform the Statement of Work/Specifications included as Attachment 1.

# C.3 COMPLIANCE WITH EPA POLICIES FOR INFORMATION RESOURCES MANAGEMENT (EPAAR 1552.211-79) (OCT 2000)

- (a) <u>Definition</u>. Information Resources Management (IRM) is defined as any planning, budgeting, organizing, directing, training, promoting, controlling, and managing activities associated with the burden, collection, creation, use and dissemination of information. IRM includes both information itself, and the management of information and related resources such as personnel, equipment, funds, and technology. Examples of these services include but are not limited to the following:
- (1) The acquisition, creation, or modification of a computer program or automated data base for delivery to EPA or use by EPA or contractors operating EPA programs.
- (2) The analysis of requirements for, study of the feasibility of, evaluation of alternatives for, or design and development of a computer program or automated data base for use by EPA or contractors operating EPA programs.
- (3) Services that provide EPA personnel access to or use of computer or word processing equipment, software, or related services.
- (4) Services that provide EPA personnel access to or use of: Data communications; electronic messaging services or capabilities; electronic bulletin boards, or other forms of electronic information dissemination; electronic record-keeping; or any other automated information services.
- (b) General. The Contractor shall perform any IRM related work under this contract in accordance with the IRM policies, standards and procedures set forth in this clause and noted below. Upon receipt of a work request (i.e. delivery order or work assignment), the Contractor shall check this listing of directives (see paragraph (d) for electronic access). The applicable

directives for performance of the work request are those in effect on the date of issuance of the work request.

- (1) IRM Policies, Standards and Procedures. The 2100 Series (2100-2199) of the Agency's Directive System contains the majority of the Agency's IRM policies, standards and procedures.
- (2) Groundwater Program IRM Requirement. A contractor performing any work related to collecting Groundwater data; or developing or enhancing data bases containing Groundwater quality data shall comply with <u>EPA Order 7500.1A</u> <u>Minimum Set of Data Elements for Groundwater</u>.
- (3) EPA Computing and Telecommunications Services. <u>The Enterprise</u> <u>Technology Services Division (ETSD) Operational Directives Manual</u> contains procedural information about the operation of the Agency's computing and telecommunications services. Contractors performing work for the Agency's National Computer Center or those who are developing systems which will be operating on the Agency's national platforms must comply with procedures established in the Manual. (This document may be found at: http://basin.rtpnc.epa.gov:9876/etsd/directives.nsf.)
- (c)  $\underline{Printed\ Documents}$ . Documents listed in (b)(1) and (b)(2) may be obtained from:

U.S. Environmental Protection Agency
Office of Administration
Facilities Management and Services Division
Distribution Section
Mail Code: 3204
Ariel Rios Building
1200 Pennsylvania Avenue, N.W.
Washington, D.C. 20460
Phone: (202) 260-5797

(d) <u>Electronic Access</u>. Electronic access. A complete listing, including full text, of documents included in the 2100 Series of the Agency's Directive System is maintained on the EPA Public Access Server on the Internet at <a href="http://epa.gov/docs/irmpoli8/">http://epa.gov/docs/irmpoli8/</a>.

# C.4 ACQUISITION AND USE OF ENVIRONMENTALLY PREFERABLE PRODUCTS AND SERVICES (EP-S 97-1) (MAY 1999)

(a) Executive Order 13101 of September 14, 1998, entitled "Greening the Government through Waste Prevention, Recycling, and Federal Acquisition" and Section 6002 of the Resource Conservation and Recovery Act (RCRA) of 1976, as amended (42 U.S.C. 6962, Pub L. 94-580, 90 Stat. 2822) require Federal agencies to procure designated items with the highest recovered materials content practicable.

- (b) In the performance of this contract, the Contractor shall comply with the requirements of the following issuances:
- (1) Title 40 of the Code of Federal Regulations, Part 247, Comprehensive Guideline for Procurement of Products Containing Recovered Materials (CPG), which designates items that are or can be made with recovered materials, and its companion pieces, the Recovered Materials Advisory Notices (RMANs). The CPG and RMANs provide recommended procurement practices, including recommended recovered material content levels, for purchasing products designated in the CPG. The Contractor shall comply with these recommendations, and such other CPG revisions and RMANs as the Environmental Protection Agency (EPA) may issue with respect to the procurement of products that contain recovered materials. (Copies of the CPG or RMANs, as well as information on manufacturers and vendors of designated items may be obtained by calling EPA's RCRA Hotline at (800) 424-9346, or, in the Washington, D.C., metropolitan area, at (703) 412-9810.)
- (2) In complying with the requirements of paragraph (b), the Contractor shall coordinate its concerns and program guidance with  ${\tt EPA's}$  Recycling Coordinator.
- (c) The Contractor shall prepare and submit reports on the purchase of products containing recovered materials from time to time in accordance with written direction (e.g., in specified format) from the EPA Recycling Coordinator through the Contracting Officer. Reports shall be submitted to the EPA Recycling Coordinator, with a copy to the Contracting Officer, Mail Code 3204, Washington, D.C. 20460.

## SECTION D - PACKAGING AND MARKING

[For this Solicitation, there are NO clauses in this Section]

#### SECTION E - INSPECTION AND ACCEPTANCE

#### E.1 NOTICE Listing Contract Clauses Incorporated by Reference

NOTICE:

The following solicitation provisions and/or contract clauses pertinent to this section are hereby incorporated by reference:

FEDERAL ACQUISITION REGULATION (48 CFR CHAPTER 1)

NUMBER DATE TITLE

52.246-4 AUG 1996 INSPECTION OF SERVICES--FIXED-PRICE

### E.2 INSPECTION AND ACCEPTANCE (EP 52.246-100) (APR 1984)

(a) The Contracting Officer or the duly authorized representative will perform inspection and acceptance of materials and services to be provided.

- (b) For the purposes of this clause, the Project Officer is the authorized representative of the Contracting Officer.
  - (c) Inspection and acceptance will be performed at:
    - U. S. Environmental Protection Agency National Risk Management Research Laboratory 26 W. Martin Luther King Drive Cincinnati, Ohio 45268

#### SECTION F - DELIVERIES OR PERFORMANCE

#### F.1 NOTICE Listing Contract Clauses Incorporated by Reference

NOTICE:

The following solicitation provisions and/or contract clauses pertinent to this section are hereby incorporated by reference:

FEDERAL ACQUISITION REGULATION (48 CFR CHAPTER 1)

NUMBER DATE TITLE

52.242-15 AUG 1989 STOP WORK ORDER

# F.2 USE OF RECOVERED MATERIALS IN PAPER AND PAPER PRODUCTS (EP 52.210-150) (JUN 1991)

- (a) If the Contractor is required under this contract to deliver any of the paper and paper products listed below, all such items delivered shall meet the minimum content standards for recovered materials, postconsumer recovered materials, or waste paper set forth below in paragraph (b).
- (1) Recovered materials are defined as waste material and by-products that have been recovered or diverted from solid waste, not including those materials and by-products generated from, and commonly reused within, an original manufacturing process.
- (2) Postconsumer recovered materials are defined as waste materials recovered from retail stores, office buildings, homes, and so forth after they passed through their end usage as a consumer item.
- (3) Waste paper is defined as all items from the first two categories above in addition to forest residues, and manufacturing and other wastes.
- (b) Unless otherwise directed by the Contracting Officer, the Contractor shall use "High Grade Bleached Printing and Writing Papers" as defined in this clause to produce all progress reports, draft reports, final reports, any other products required to be delivered to the Government under this contract.

EPA MINIMUM CONTENT STANDARDS FOR SELECTED PAPER AND PAPER PRODUCTS

Minimum % Minimum % Minimum% Recovered Postconsumer Waste

Materials	Recovered Materials	Paper
NEWSPRINT		40
HIGH GRADE BLEACHED PRINTING AND WRITING	PAPERS:	
Offset printing		50
Mimeo and duplicator paper		50
Writing (stationery)		50
Office paper (e.g., note pads)		50
Paper for high speed copiers		50
Envelopes		
Form bond including computer paper and carbonless	• • • • • • • • • • • • • • • • • • • •	50
Book papers		50
Bond papers		
Ledger		50
Cover stock		50
Cotton Fiber papers 25		50
TISSUE PRODUCTS:		
Toilet tissue	. 20	
Paper towels	. 40	
Paper napkins	. 30	
Facial tissue	. 5	
Doilies	. 40	
Industrial wipes	. 0	
UNBLEACHED PACKAGING:		
Corrugated boxes	. 35	
Fiber boxes	. 35	
Brown papers (e.g. bags)	. 5	
RECYCLED PAPERBOARD:		
Recycled paperboard products		
Pad backing	. 90	

## F.3 REPORTS OF WORK (EPAAR 1552.211-70) (OCT 2000) ALTERNATE I (OCT 2000)

The Contractor shall prepare and deliver the below listed reports, including plans, evaluations, studies, analyses and manuals to the designated addressees. Each report shall cite the contract number, identify the U.S. Environmental Protection Agency as the sponsoring agency, and identify the name of the contractor preparing the report.

The OMB clearance number for progress reports delivered under this contract is 2030-0005 with an expiration date of February 28, 2003. Required reports are listed in Clause F.2, Delivery Schedule.

### F.4 DELIVERY SCHEDULE (EP 52.212-160) (APR 1984)

The following items shall be delivered under this contract:

- 1. Draft report (Task 1) is due 150 days from contract award.
- 2. First Final report (Task 1) is due 14 days after contractor receives EPA Project Officer review comments from draft report of Task 1.
- 3. Draft outline of model evaluation strategy (Task 2) is due 180 days from contract award.
- 4. Draft report of model evaluation (Task 2) is due 300 days from contract award.
- 5. Draft report of research needs (Task 3) is due 360 days from contract award.
- 6. Second Final report (Incorporates Task 2 and Task 3 in one report) is due 14 days after contractor receives EPA Project Officer review comments from draft reports of Task 2 and 3.

### SECTION G - CONTRACT ADMINISTRATION DATA

### G.1 CONTRACT ADMINISTRATION REPRESENTATIVES (EP 52.242-100) (AUG 1984)

Project Officer(s) for this contract:

Project Officer:

TO BE IDENTIFIED AT TIME OF CONTRACT AWARD

Contract Specialist(s) responsible for administering this contract:

TO BE IDENTIFIED AT TIME OF CONTRACT AWARD

Administrative Contracting Officer:

TO BE IDENTIFIED AT TIME OF CONTRACT AWARD

#### SECTION H - SPECIAL CONTRACT REQUIREMENTS

#### H.1 PRINTING (EPAAR 1552.208-70) (OCT 2000)

#### (a) Definitions.

"Printing" is the process of composition, plate making, presswork, binding and microform; or the end items produced by such processes and equipment. Printing services include newsletter production and periodicals which are prohibited under EPA contracts.

"Composition" applies to the setting of type by hot-metal casting, photo typesetting, or electronic character generating devices for the purpose of producing camera copy, negatives, a plate or image to be used in the production of printing or microform.

"Camera copy" (or "camera-ready copy") is a final document suitable for printing/duplication.

"Desktop Publishing" is a method of composition using computers with the final output or generation of camera copy done by a color inkjet or color laser printer. This is not considered "printing." However, if the output from desktop publishing is being sent to a typesetting device (i.e., Linotronic) with camera copy being produced in either paper or negative format, these services are considered "printing".

"Microform" is any product produced in a miniaturized image format, for mass or general distribution and as a substitute for conventionally printed material. Microform services are classified as printing services and includes microfiche and microfilm. The contractor may make up to two sets of microform files for archival purposes at the end of the contract period of performance.

"Duplication" means the making of copies on photocopy machines employing electrostatic, thermal, or other processes without using an intermediary such as a negative or plate.

"Requirement" means an individual photocopying task. (There may be multiple requirements under a Work Assignment or Delivery Order. Each requirement would be subject to the photocopying limitation of 5,000 copies of one page or 25,000 copies of multiple pages in the aggregate per requirement).

### (b) Prohibition.

The contractor shall not engage in, nor subcontract for, any printing in connection with the performance of work under this contract. Duplication of more than 5,000 copies of one page or more than 25,000 copies of multiple pages in the aggregate per requirement constitutes printing. The intent of the limitation is not to allow the duplication of final documents for use by the Agency. In compliance with EPA Order 2200.4a, EPA Publication Review Procedure, the Office of Communications, Education, and Media Relations is responsible for the review of materials generated under a contract published or issued by the Agency under a contract intended for release to the public.

#### (c) Affirmative Requirements.

- (1) Unless otherwise directed by the contracting officer, the contractor shall use double-sided copying to produce any progress report, draft report or final report.
- (2) Unless otherwise directed by the contracting officer, the contractor shall use recycled paper for reports delivered to the Agency which meet the minimum content standards for paper and paper products as set forth in EPA's Web site for the Comprehensive Procurement Guidelines at: http://www.epa.gov/cpg/.

#### (d) Permitted Contractor Activities.

- (1) The prohibitions contained in paragraph (b) do not preclude writing, editing, or preparing manuscript copy, or preparing related illustrative material to a final document (camera-ready copy) using desktop publishing.
- (2) The contractor may perform a requirement involving the duplication of less than 5,000 copies of only one page, or less than 25,000 copies of multiple pages in the aggregate, using one color (black), so long as such pages do not exceed the maximum image size of  $10\3/4\$  by  $14\1/4\$  inches, or 11 by 17 paper stock. Duplication services below these thresholds are not considered printing. If performance of the contract will require duplication in excess of these limits, contractors must immediately notify the contracting officer in writing. EPA may then seek a waiver from the Joint Committee on Printing, U. S. Congress. The intent of the limitation is to allow `incidental' duplication (drafts, proofs) under a contract. The intent of the limitation is not to allow the duplication of copies of final documents for use by the Agency or as distributed as instructed by the Agency.
- (3) The contractor may perform a requirement involving the multi-color duplication of no more than 100 pages in the aggregate using color copier technology, so long as such pages do not exceed the maximum image size of  $10\3/4\$  by  $14\1/4\$  inches, or 11 by 17 paper stock. Duplication services below these thresholds are not considered printing. If performance of the contract will require duplication in excess of these limits, contractors must immediately notify the contracting officer in writing. EPA may then seek a waiver from the Joint Committee on Printing, U. S. Congress.
- (4) The contractor may perform the duplication of no more than a total of 100 diskettes or CD-ROM's. Duplication services below these thresholds are not considered printing. If performance of the contract will require duplication in excess of these limits, contractors must immediately notify the contracting officer in writing. EPA may then seek a waiver from the Joint Committee on Printing, U. S. Congress.

#### (e) Violations.

The contractor may not engage in, nor subcontract for, any printing in connection with the performance of work under the contract. The cost of any printing services in violation of this clause will be disallowed, or not

accepted by the Government.

#### (f) Flowdown Provision.

The contractor shall include in each subcontract which may involve a requirement for any printing/duplicating/copying a provision substantially the same as this clause.

# H.2 ORGANIZATIONAL CONFLICTS OF INTEREST (EPAAR 1552.209-71) (MAY 1994) ALTERNATE I (MAY 1994)

- (a) The Contractor warrants that, to the best of the Contractor's knowledge and belief, there are no relevant facts or circumstances which could give rise to an organizational conflict of interest, as defined in FAR Subpart 9.5, or that the Contractor has disclosed all such relevant information.
- (b) Prior to commencement of any work, the Contractor agrees to notify the Contracting Officer immediately that, to the best of its knowledge and belief, no actual or potential conflict of interest exists or to identify to the Contracting Officer any actual or potential conflict of interest the firm may have. In emergency situations, however, work may begin but notification shall be made within five (5) working days.
- (c) The Contractor agrees that if an actual or potential organizational conflict of interest is identified during performance, the Contractor will immediately make a full disclosure in writing to the Contracting Officer. This disclosure shall include a description of actions which the Contractor has taken or proposes to take, after consultation with the Contracting Officer, to avoid, mitigate, or neutralize the actual or potential conflict of interest. The Contractor shall continue performance until notified by the Contracting Officer of any contrary action to be taken.
- (d) Remedies The EPA may terminate this contract for convenience, in whole or in part, if it deems such termination necessary to avoid an organizational conflict of interest. If the Contractor was aware of a potential organizational conflict of interest prior to award or discovered an actual or potential conflict after award and did not disclose it or misrepresented relevant information to the Contracting Officer, the Government may terminate the contract for default, debar the Contractor from Government contracting, or pursue such other remedies as may be permitted by law or this contract.
- (e) The Contractor agrees to insert in each subcontract or consultant agreement placed hereunder provisions which shall conform substantially to the language of this clause, including this paragraph, unless otherwise authorized by the Contracting Officer.

# H.3 NOTIFICATION OF CONFLICTS OF INTEREST REGARDING PERSONNEL (EPAAR 1552.209-73) (MAY 1994) ALTERNATE I (JUN 1994) DEVIATION

- (a) In addition to the requirements of the contract clause entitled "Organizational Conflicts of Interest," the following provisions with regard to employee personnel performing under this contract shall apply until the earlier of the following two dates: the termination date of the affected employee(s) or the expiration date of the contract.
- (b) The Contractor agrees to notify immediately the EPA Project Officer and the Contracting Officer of (1) any actual or potential personal conflict of interest with regard to any of its employees working on or having access to information regarding this contract, or (2) any such conflicts concerning subcontractor employees or consultants working on or having access to information regarding this contract, when such conflicts have been reported to the Contractor. A personal conflict of interest is defined as a relationship of an employee, subcontractor employee, or consultant with an entity that may impair the objectivity of the employee, subcontractor employee, or consultant in performing the contract work.
- (c) The Contractor agrees to notify each Project Officer and Contracting Officer prior to incurring costs for that employee's work when an employee may have a personal conflict of interest. In the event that the personal conflict of interest does not become known until after performance on the contract begins, the Contractor shall immediately notify the Contracting Officer of the personal conflict of interest. The Contractor shall continue performance of this contract until notified by the Contracting Officer of the appropriate action to be taken.
- (d) The Contractor agrees to insert in any subcontract or consultant agreement placed hereunder provisions which shall conform substantially to the language of this clause, including this paragraph (d), unless otherwise authorized by the Contracting Officer.

### H.4 CONTRACTOR PERFORMANCE EVALUATIONS (EPAAR 1552.209-76) (OCT 2002)

The contracting officer shall complete a Contractor Performance Report (Report) within ninety (90) business days after the end of each 12 months of contract performance (interim Report) or after the last 12 months (or less) of contract performance (final Report) in accordance with EPAAR 1509.170-5. The contractor shall be evaluated based on the following ratings:

- 0 = Unsatisfactory,
- 1 = Poor,
- 2 = Fair,
- 3 = Good,
- 4 = Excellent,
- 5 = Outstanding,
- N/A = Not Applicable.

The contractor may be evaluated based on the following performance categories:

Quality,
Cost Control,
Timeliness of Performance,
Business Relations,
Compliance with Labor Standards,
Compliance with Safety Standards, and
Meeting Small Disadvantaged Business Subcontracting Requirements.

- (a) The contracting officer shall initiate the process for completing interim Reports within five (5) business days after the end of each 12 months of contract performance by requesting the project officer to evaluate contractor performance for the interim Report. In addition, the contracting officer shall initiate the process for completing final Reports within five (5) business days after the last 12 months (or less) of contract performance by requesting the project officer to evaluate contractor performance for the final Report. The final Report shall cover the last 12 months (or less) of contract performance. Within thirty (30) business days after the project officer receives a request from the contracting officer to complete an evaluation, the project officer shall:
  - (1) Complete a description of the contract requirements;
- (2) Evaluate contractor performance and assign a rating for quality, cost control, timeliness of performance, compliance with labor standards, and compliance with safety standards performance categories (including a narrative for each rating);
- (3) Provide any information regarding subcontracts, key personnel, and customer satisfaction;
- (4) Assign a recommended rating for the business relations performance category (including a narrative for the rating); and
- (5) Provide additional information appropriate for the evaluation or future evaluations.
  - (b) The contracting officer shall:
- (1) Ensure the accuracy of the project officer's evaluation by verifying that the information in the contract file corresponds with the designated project officer's ratings;
- (2) Assign a rating for the business relations and meeting small disadvantaged business subcontracting requirements performance categories (including a narrative for each rating).
- (3) Concur with or revise the project officer's ratings after consultation with the project officer;

- (4) Provide any additional information concerning the quality, cost control, timeliness of performance, compliance with labor standards, and compliance with safety standards performance categories if deemed appropriate for the evaluation or future evaluations (if any), and provide any information regarding subcontracts, key personnel, and customer satisfaction; and
- (5) Forward the Report to the contractor within ten (10) business days after the contracting officer receives the project officer's evaluation.
- (c) The contractor shall be granted thirty (30) business days from the date of the contractor's receipt of the Report to review and provide a response to the contracting officer regarding the contents of the Report. The contractor shall:
  - (1) Review the Report;
- (2) Provide a response (if any) to the contracting officer on company letter head or electronically;
  - (3) Complete contractor representation information; and
- (4) Forward the Report to the contracting officer within the designated thirty (30) business days.
- (d) The contractor's response to the Report may include written comments, rebuttals (disagreements), or additional information. If the contractor does not respond to the Report within the designated thirty (30) business days, the specified ratings in the Report are deemed appropriate for the evaluation period. In this instance, the contracting officer shall complete the Agency review and sign the Report within three (3) business days after expiration of the specified 30 business days.
- (e) If the contractor submits comments, rebuttals (disagreements), or additional information to the contracting officer which contests the ratings, the contracting officer, in consultation with the project officer, shall initially try to resolve the disagreement(s) with the contractor.
- (f) If the disagreement(s) is (are) not resolved between the contractor and the contracting officer, the contracting officer shall provide a written recommendation to one level above the contracting officer for resolution as promptly as possible, but no later than five (5) business days after the contracting officer is made aware that the disagreement(s) has (have) not been resolved with the contractor. The individual who is one level above the contracting officer shall:
  - (1) Review the contracting officer's written recommendation; and
- (2) Provide a written determination to the contracting officer for summary ratings (ultimate conclusion for ratings pertaining to the performance period

being evaluated) within five (5) business days after the individual one level above the contracting officer receives the contracting officer's written recommendation.

- (g) If the disagreement is resolved, the contracting officer shall complete the Agency review and sign the Report within three (3) business days after consultation.
- (h) The contracting officer shall complete the Agency review and sign the Report within three (3) business days after the contracting officer receives a written determination for summary ratings from one level above the contracting officer.
- (i) An interim or final Report is considered completed after the contracting officer signs the Report. The contracting officer must provide a copy of completed Reports (interim and final) to the contractor within two (2) business days after completion.

# H.5 UTILIZATION OF RURAL AREA SMALL BUSINESS CONCERNS (EP 52.219-110) (APR 1990)

- (a) (1) "Rural area small business concern," as used in this clause, means a small business concern that is located and conducts its principal operations in a rural geographic area (county or parish) listed in the Small Business Administration's Listing of Non-Metropolitan Rural Counties by State.
- (2) "Small business concern," as used in this clause, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on government contracts, and qualified as a small business under the criteria and size standard in 13 CFR 121.
- (b) It is the policy of the Environmental Protection Agency (EPA) that rural area small business concerns shall have the maximum practicable opportunity to participate in performing contracts awarded by EPA.
- (c) The contractor shall use its best efforts to give rural area small business concerns the opportunity to participate in the subcontracts it awards to the fullest extent consistent with efficient performance of this contract.
- (d) The contractor shall incorporate the substance of this clause in any subcontract that may provide for additional subcontracting opportunities.

# H.6 UTILIZATION OF HISTORICALLY BLACK COLLEGES AND UNIVERSITIES (EP 52.219-115) (JUL 1991)

(a) It is the Policy of the Environmental Protection Agency that historically black colleges and universities shall have the maximum practicable opportunity

to participate in performing contracts awarded by the Agency.

- (b) The Contractor shall use its best efforts to give historically black colleges and universities the opportunity to participate in any subcontracts awarded to the fullest extent consistent with efficient performance of this contract.
- (c) The contractor shall incorporate the substance of this clause in any subcontract which may provide for additional subcontracting opportunities.

## H.7 SCREENING BUSINESS INFORMATION FOR CLAIMS OF CONFIDENTIALITY (EPAAR 1552.235-70) (APR 1984)

- (a) Whenever collecting information under this contract, the Contractor agrees to comply with the following requirements:
- (1) If the Contractor collects information from public sources, such as books, reports, journals, periodicals, public records, or other sources that are available to the public without restriction, the Contractor shall submit a list of these sources to the appropriate program office at the time the information is initially submitted to EPA. The Contractor shall identify the information according to source.
- (2) If the Contractor collects information from a State or local Government or from a Federal agency, the Contractor shall submit a list of these sources to the appropriate program office at the time the information is initially submitted to EPA. The Contractor shall identify the information according to source.
- (3) If the Contractor collects information directly from a business or from a source that represents a business or businesses, such as a trade association:
- (i) Before asking for the information, the Contractor shall identify itself, explain that it is performing contractual work for the Environmental Protection Agency, identify the information that it is seeking to collect, explain what will be done with the information, and give the following notice:
- (A) You may, if you desire, assert a business confidentiality claim covering part or all of the information. If you do assert a claim, the information will be disclosed by EPA only to the extent, and by means of the procedures, set forth in 40 CFR Part 2, Subpart B.
- $\hbox{(B) If no such claim is made at the time this information is received by the Contractor, it may be made available to the public by the Environmental Protection Agency without further notice to you.}\\$
- (C) The Contractor shall, in accordance with FAR Part 9, execute a written agreement regarding the limitations of the use of this information and

forward a copy of the agreement to the Contracting Officer.

- (ii) Upon receiving the information, the Contractor shall make a written notation that the notice set out above was given to the source, by whom, in what form, and on what date.
- (iii) At the time the Contractor initially submits the information to the appropriate program office, the Contractor shall submit a list of these sources, identify the information according to source, and indicate whether the source made any confidentiality claim and the nature and extent of the claim.
- (b) The Contractor shall keep all information collected from nonpublic sources confidential in accordance with the clause in this contract entitled "Treatment of Confidential Business Information" as if it had been furnished to the Contractor by EPA.
- (c) The Contractor agrees to obtain the written consent of the Contracting Officer, after a written determination by the appropriate program office, prior to entering into any subcontract that will require the subcontractor to collect information. The Contractor agrees to include this clause, including this paragraph (c), and the clause entitled "Treatment of Confidential Business Information" in all subcontracts awarded pursuant to this contract that require the subcontractor to collect information.

# H.8 TREATMENT OF CONFIDENTIAL BUSINESS INFORMATION (EPAAR 1552.235-71) (APR 1984)

- (a) The Contracting Officer, after a written determination by the appropriate program office, may disclose confidential business information (CBI) to the Contractor necessary to carry out the work required under this contract. The Contractor agrees to use the CBI only under the following conditions:
- (1) The Contractor and Contractor's employees shall: (i) use the CBI only for the purposes of carrying out the work required by the contract; (ii) not disclose the information to anyone other than properly cleared EPA employees without the prior written approval of the Assistant General Counsel for Contracts and Information Law; and (iii) return to the Contracting Officer all copies of the information, and any abstracts or excerpts therefrom, upon request by the Contracting Officer, whenever the information is no longer required by the Contractor for the performance of the work required by the contract, or upon completion of the contract.
- (2) The Contractor shall obtain a written agreement to honor the above limitations from each of the Contractor's employees who will have access to the information before the employee is allowed access.
- (3) The Contractor agrees that these contract conditions concerning the use and disclosure of CBI are included for the benefit of, and shall be enforceable by, both EPA and any affected businesses having a proprietary

interest in the information.

- $\,$  (4) The Contractor shall not use any CBI supplied by EPA or obtained during performance hereunder to compete with any business to which the CBI relates.
- (b) The Contractor agrees to obtain the written consent of the CO, after a written determination by the appropriate program office, prior to entering into any subcontract that will involve the disclosure of CBI by the Contractor to the subcontractor. The Contractor agrees to include this clause, including this paragraph (b), in all subcontracts awarded pursuant to this contract that require the furnishing of CBI to the subcontractor.

# H.9 RELEASE OF CONTRACTOR CONFIDENTIAL BUSINESS INFORMATION (EPAAR 1552.235-79) (APR 1996)

- (a) The Environmental Protection Agency (EPA) may find it necessary to release information submitted by the Contractor either in response to this solicitation or pursuant to the provisions of this contract, to individuals not employed by EPA. Business information that is ordinarily entitled to confidential treatment under existing Agency regulations (40 C.F.R. Part 2) may be included in the information released to these individuals. Accordingly, by submission of this proposal or signature on this contract or other contracts, the Contractor hereby consents to a limited release of its confidential business information (CBI).
- (b) Possible circumstances where the Agency may release the Contractor's CBI include, but are not limited to the following:
- (1) To other Agency contractors tasked with assisting the Agency in the recovery of Federal funds expended pursuant to the Comprehensive Environmental Response, Compensation, and Liability Act, 42 U.S.C. Sec. 9607, as amended, (CERCLA or Superfund);
- (2) To the U.S. Department of Justice (DOJ) and contractors employed by DOJ for use in advising the Agency and representing the Agency in procedures for the recovery of Superfund expenditures;
- (3) To parties liable, or potentially liable, for costs under CERCLA Sec. 107 (42 U.S.C. Sec. 9607), et al, and their insurers (Potentially Responsible Parties) for purposes of facilitating settlement or litigation of claims against such parties;
- (4) To other Agency contractors who, for purposes of performing the work required under the respective contracts, require access to information the Agency obtained under the Clean Air Act (42 U.S.C. 7401 et seq.); the Federal Water Pollution Control Act (33 U.S.C.1251 et seq.); the Safe Drinking Water Act (42 U.S.C. 300f et seq.); the Federal Insecticide, Fungicide and Rodenticide Act (7 U.S.C. 136 et seq.); the Resource Conservation and Recovery Act (42 U.S.C. 6901 et seq.); the Toxic Substances Control Act (15 U.S.C. 2601 et seq.); or the

Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9601 et seq.);

- (5) To other Agency contractors tasked with assisting the Agency in handling and processing information and documents in the administration of Agency contracts, such as providing both preaward and post award audit support and specialized technical support to the Agency's technical evaluation panels;
- (6) To employees of grantees working at EPA under the Senior Environmental Employment (SEE) Program;
- (7) To Speaker of the House, President of the Senate, or Chairman of a Committee or Subcommittee;
- (8) To entities such as the General Accounting Office, boards of contract appeals, and the Courts in the resolution of solicitation or contract protests and disputes;
- (9) To Agency contractor employees engaged in information systems analysis, development, operation, and maintenance, including performing data processing and management functions for the Agency; and
  - (10) Pursuant to a court order or court-supervised agreement.
- (c) The Agency recognizes an obligation to protect the contractor from competitive harm that may result from the release of such information to a competitor. (See also the clauses in this document entitled "Screening Business Information for Claims of Confidentiality" and "Treatment of Confidential Business Information.") Except where otherwise provided by law, the Agency will permit the release of CBI under subparagraphs (1), (3), (4), (5), (6), or (9) only pursuant to a confidentiality agreement.
- (d) With respect to contractors, 1552.235-71 will be used as the confidentiality agreement. With respect to Potentially Responsible Parties, such confidentiality agreements may permit further disclosure to other entities where necessary to further settlement or litigation of claims under CERCLA. Such entities include, but are not limited to accounting firms and technical experts able to analyze the information, provided that they also agree to be bound by an appropriate confidentiality agreement.
- (e) This clause does not authorize the Agency to release the Contractor's CBI to the public pursuant to a request filed under the Freedom of Information Act.
- (f) The Contractor agrees to include this clause, including this paragraph (f), in all subcontracts at all levels awarded pursuant to this contract that require the furnishing of confidential business information by the subcontractor.

#### H.10 GOVERNMENT - CONTRACTOR RELATIONS (EPAAR 1552.237-76) (JUL 1999)

- (a) The Government and the Contractor understand and agree that the services to be delivered under this contract by the contractor to the Government are non-personal services and the parties recognize and agree that no employer-employee relation-ship exists or will exist under the contract between the Government and the Contractor's personnel. It is, therefore, in the best interest of the Government to afford both parties a full understanding of their respective obligations.
  - (b) Contractor personnel under this contract shall not:
- (1) Be placed in a position where they are under the supervision, direction, or evaluation of a Government employee.
- (2) Be placed in a position of command, supervision, administration or control over Government personnel, or over personnel of other Contractors under other EPA contracts, or become a part of the Government organization.
- (3) Be used in administration or supervision of Government procurement activities.

#### (C) Employee Relationship:

- (1) The services to be performed under this contract do not require the Contractor or his/her personnel to exercise personal judgment and discretion on behalf of the Government. Rather the Contractor's personnel will act and exercise personal judgment and discretion on behalf of the Contractor.
- (2) Rules, regulations, directives, and requirements that are issued by the U.S. Environmental Protection Agency under its responsibility for good order, administration, and security are applicable to all personnel who enter the Government installation or who travel on Government transportation. This is not to be construed or interpreted to establish any degree of Government control that is inconsistent with a non-personal services contract.
- (d) Inapplicability of Employee Benefits: This contract does not create an employer-employee relationship. Accordingly, entitlements and benefits applicable to such relationships do not apply.
- (1) Payments by the Government under this contract are not subject to Federal income tax withholdings.
- (2) Payments by the Government under this contract are not subject to the Federal Insurance Contributions Act.
- (3) The Contractor is not entitled to unemployment compensation benefits under the Social Security Act, as amended, by virtue of performance of this contract.
  - (4) The Contractor is not entitled to workman's compensation benefits by

virtue of this contract.

- (5) The entire consideration and benefits to the Contractor for performance of this contract is contained in the provisions for payment under this contract.
- (e) Notice. It is the Contractor's, as well as, the Government's responsibility to monitor contract activities and notify the Contracting Officer if the Contractor believes that the intent of this clause has been or may be violated.
- (1) The Contractor should notify the Contracting Officer in writing promptly, within 30 calendar days from the date of any incident that the Contractor considers to constitute a violation of this clause. The notice should include the date, nature and circumstance of the conduct, the name, function and activity of each Government employee or Contractor official or employee involved or knowledgeable about such conduct, identify any documents or substance of any oral communication involved in the conduct, and the estimate in time by which the Government must respond to this notice to minimize cost, delay or disruption of performance.
- (2) The Contracting Officer will promptly, within 30 calendar days after receipt of notice, respond to the notice in writing. In responding, the Contracting Officer will either:
- (i) confirm that the conduct is in violation and when necessary direct the mode of further performance,
  - (ii) countermand any communication regarded as a violation,
- $\,$  (iii) deny that the conduct constitutes a violation and when necessary direct the mode of further performance; or
- (iv) in the event the notice is inadequate to make a decision, advise the Contractor what additional information is required, and establish the date by which it should be furnished by the Contractor and the date thereafter by which the Government will respond.

#### PART II - CONTRACT CLAUSES

#### SECTION I - CONTRACT CLAUSES

## I.1 NOTICE Listing Contract Clauses Incorporated by Reference

### NOTICE:

The following solicitation provisions and/or contract clauses pertinent to this section are hereby incorporated by reference:

FEDERAL ACQUISITION REGULATION (48 CFR CHAPTER 1)

NUMBER	DATE	TITLE
52.202-1	DEC 2001	DEFINITIONS
52.203-3	APR 1984	GRATUITIES
52.203-5	APR 1984	COVENANT AGAINST CONTINGENT FEES
52.203-6	JUL 1995	RESTRICTIONS ON SUBCONTRACTOR SALES TO THE GOVERNMENT
52.203-7	JUL 1995	ANTI-KICKBACK PROCEDURES
52.203-8	JAN 1997	CANCELLATION, RESCISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY
52.203-10	JAN 1997	PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY
52.203-12	JUN 1997	LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS
52.204-4	AUG 2000	PRINTED OR COPIED DOUBLE-SIDED ON RECYCLED PAPER
52.209-6	JUL 1995	PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITH CONTRACTORS DEBARRED, SUSPENDED, OR PROPOSED FOR DEBARMENT
52.215-2	JUN 1999	AUDIT AND RECORDSNEGOTIATION
52.215-8	OCT 1997	ORDER OF PRECEDENCE-UNIFORM CONTRACT FORMAT
52.219-4	JAN 1999	NOTICE OF PRICE EVALUATION PREFERENCE FOR HUBZONE SMALL BUSINESS CONCERNS
52.219-8	OCT 2000	UTILIZATION OF SMALL BUSINESS CONCERNS
52.222-3	AUG 1996	CONVICT LABOR
52.222-26	APR 2002	EQUAL OPPORTUNITY
52.222-35	DEC 2001	EQUAL OPPORTUNITY FOR SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS
52.222-36	JUN 1998	AFFIRMATIVE ACTION FOR WORKERS WITH DISABILITIES
52.222-37	DEC 2001	EMPLOYMENT REPORTS ON SPECIAL DISABLED

OTHER ELIGIBLE VETERANS			VETERANS, VETERANS OF THE VIETNAM ERA, AND
DRUG-FREE WORKPLACE			•
S2.225-13	52.223-6	MAY 2001	
S2.225-13			
S2.227-1			
S2.227-2	52.227-1		AUTHORIZATION AND CONSENT
COPYRIGHT INFRINGEMENT  52.227-14			
S2.227-14	32,22, 2	1100 1330	
52.227-14         JUN 1987         RIGHTS IN DATAGENERAL ALTERNATE II (JUN 1987)           52.227-14         JUN 1987         RIGHTS IN DATAGENERAL ALTERNATE III (JUN 1987)           52.227-16         JUN 1987         ADDITIONAL DATA REQUIREMENTS           52.229-3         JAN 1991         FEDERAL, STATE, AND LOCAL TAXES           52.229-5         APR 1984         TAXESCONTRACTS PERFORMED IN U.S.           POSSESSIONS OR PUERTO RICO         POSSESSIONS OR PUERTO RICO           52.232-1         APR 1984         PAYMENTS           52.232-11         APR 1984         EXTRAS           52.232-17         JUN 1996         INTEREST           52.232-25         FEB 2002         PROMPT PAYMENT           52.232-34         MAY 1999         PAYMENT BY ELECTRONIC FUNDS TRANSFEROTHER           52.233-1         JUL 2002         DISPUTES           52.233-3         AUG 1996         PROTEST AFTER AWARD           52.242-13         JUL 1995         BANKRUPTCY           52.243-1         AUG 1987         CHANGESFIXED-PRICE ALTERNATE III (APR 1984)           52.246-25         FEB 1997         LIMITATION OF LIABILITYSERVICES           52.249-4         APR 1984         TERMINATION FOR CONVENIENCE OF THE	52.227-14	JTTN 1987	
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GOVERNMENT (SERVICES) (SHORT FORM)			GOVERNMENT (SERVICES) (SHORT FORM)
52.249-8 APR 1984 DEFAULT (FIXED-PRICE SUPPLY AND SERVICE)	52.249-8	APR 1984	, , ,
52.253-1 JAN 1991 COMPUTER GENERATED FORMS	52.253-1	JAN 1991	COMPUTER GENERATED FORMS

# I.2 PRINTING/COPYING DOUBLE-SIDED ON RECYCLED PAPER (FAR 52.204-4) (JUN 1996) DEVIATION

- (a) In accordance with Executive Order 12873, dated October 20, 1993, as amended by Executive Order 12995, dated March 25, 1996, the Offeror/Contractor is required to submit paper documents, such as offers, letters, or reports, that are printed/copied double-sided on recycled paper that has at least 20% postconsumer material.
- (b) The 20% standard applies to high-speed copier paper, offset paper, forms bond, computer printout paper, carbonless paper, file folders, white woven envelopes, and other uncoated printed and writing paper, such as writing and office paper, book paper, cotton fiber paper, and cover stock. An alternative standard to meeting the 20% postconsumer material standard is 50% recovered

material content of certain industrial by-products.

### 1.3 NOTICE OF PRICE EVALUATION ADJUSTMENT FOR SMALL DISADVANTAGED BUSINESS CONCERNS (FAR 52.219-23) (MAY 2001)

(a) Definitions. As used in this clause--

"Small disadvantaged business concern" means an offeror that represents, as part of its offer, that it is a small business under the size standard applicable to this acquisition; and either--

- (1) It has received certification by the Small Business Administration as a small disadvantaged business concern consistent with 13 CFR 124, Subpart B; and
- (i) No material change in disadvantaged ownership and control has occurred since its certification;
- (ii) Where the concern is owned by one or more disadvantaged individuals, the net worth of each individual upon whom the certification is based does not exceed \$750,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104(c)(2); and
- (iii) It is identified, on the date of its representation, as a certified small disadvantaged business concern in the database maintained by the Small Business Administration (PRO-Net).
- (2) It has submitted a completed application to the Small Business Administration or a Private Certifier to be certified as a small disadvantaged business concern in accordance with 13 CFR 124, Subpart B, and a decision on that application is pending, and that no material change in disadvantaged ownership and control has occurred since its application was submitted. In this case, in order to receive the benefit of a price evaluation adjustment, an offeror must receive certification as a small disadvantaged business concern by the Small Business Administration prior to contract award; or
  - (3) Is a joint venture as defined in 13 CFR 124.1002(f).

"Historically black college or university" means an institution determined by the Secretary of Education to meet the requirements of 34 CFR 608.2. For the Department of Defense (DOD), the National Aeronautics and Space Administration (NASA), and the Coast Guard, the term also includes any nonprofit research institution that was an integral part of such a college or university before November 14, 1986.

"Minority institution" means an institution of higher education meeting the requirements of Section 1046(3) of the Higher Education Act of 1965 (20 U.S.C. 1067k, including a Hispanic-serving institution of higher education, as defined in Section 316(b)(1) of the Act (20 U.S.C. 1101a)).

"United States" means the United States, its territories and possessions, the Commonwealth of Puerto Rico, the U.S. Trust Territory of the Pacific Islands, and the District of Columbia.

- (b) Evaluation adjustment. (1) The Contracting Officer will evaluate offers by adding a factor of 10 percent to the price of all offers, except--
- (i) Offers from small disadvantaged business concerns that have not waived the adjustment;
- (ii) An otherwise successful offer of eligible products under the Trade Agreements Act when the dollar threshold for application of the Act is equaled or exceeded (see section 25.402 of the Federal Acquisition Regulation (FAR));
- (iii) An otherwise successful offer where application of the factor would be inconsistent with a Memorandum of Understanding or other international agreement with a foreign government;
- (iv) For DoD, NASA, and Coast Guard acquisitions, an otherwise successful offer from a historically black college or university or minority institution; and
- (v) For DoD acquisitions, an otherwise successful offer of qualifying country end products (see sections 225.000-70 and 252.225-7001 of the Defense FAR Supplement).
- (2) The Contracting Officer will apply the factor to a line item or a group of line items on which award may be made. The Contracting Officer will apply other evaluation factors described in the solicitation before application of the factor. The factor may not be applied if using the adjustment would cause the contract award to be made at a price that exceeds the fair market price by more than the factor in paragraph (b)(1) of this clause.
- (c) Waiver of evaluation adjustment. A small disadvantaged business concern may elect to waive the adjustment, in which case the factor will be added to its offer for evaluation purposes. The agreements in paragraph (d) of this clause do not apply to offers that waive the adjustment.
  - \_\_\_\_Offeror elects to waive the adjustment.
- (d) Agreements. (1) A small disadvantaged business concern, that did not waive the adjustment, agrees that in performance of the contract, in the case of a contract for--

- (i) Services, except construction, at least 50 percent of the cost of personnel for contract performance will be spent for employees of the concern;
- (ii) Supplies (other than procurement from a non-manufacturer of such supplies), at least 50 percent of the cost of manufacturing, excluding the cost of materials, will be performed by the concern;
- (iii) General construction, at least 15 percent of the cost of the contract, excluding the cost of materials, will be performed by employees of the concern; or
- (iv) Construction by special trade contractors, at least 25 percent of the cost of the contract, excluding the cost of materials, will be performed by employees of the concern.
- (2) A small disadvantaged business concern submitting an offer in its own name agrees to furnish in performing this contract only end items manufactured or produced by small disadvantaged business concerns in the United States. This paragraph does not apply in connection with construction or service contracts.

### I.4 SUBCONTRACTS FOR COMMERCIAL ITEMS (FAR 52.244-6) (MAY 2002)

(a) Definitions. As used in this clause--

"Commercial item" has the meaning contained in the clause at 52.202-1, Definitions.

"Subcontract" includes a transfer of commercial items between divisions, subsidiaries, or affiliates of the Contractor or subcontractor at any tier.

- (b) To the maximum extent practicable, the Contractor shall incorporate, and require its subcontractors at all tiers to incorporate, commercial items or nondevelopmental items as components of items to be supplied under this contract
- (c)(1) The Contractor shall insert the following clauses in subcontracts for commercial items:
- (i) 52.21908, Utilization of Small Business Concerns (Oct 2000) (15 U.S.C. 637(d)(2)(3)), in all subcontracts that offer further subcontracting opportunities. If the subcontract (except subcontracts to small business concerns) exceed \$500,000 (\$1,000,000 for construction of any public facility), the subcontractor must include 52.219-8 in lower tier subcontracts that offer subcontracting opportunities.
  - (ii) 52.222-26, Equal Opportunity (Apr 2002) (E.O. 11246).
    - (iii) 52.222-35, Equal Opportunity for Special Disabled Veterans,

Veterans of the Vietnam Era, and Other Eligible Veterans (Dec 2001) (38 U.S.C. 4212(a));

- (iv) 52.222-36, Affirmative Action for Workers with Disabilities (Jun 1998) (29 U.S.C. 793).
- (v) 52.247-64, Preference for Privately Owned U.S.-Flag Commercial Vessels (Jun 2000) (46 U.S.C. Appx 1241) (flow down not required for subcontracts awarded beginning May 1, 1996).
- (2) While not required, the Contractor may flow down to subcontracts for commercial items a minimal number of additional clauses necessary to satisfy its contractual obligations.
- (d) The Contractor shall include the terms of this clause, including this paragraph (d), in subcontracts awarded under this contract.

#### I.5 CLAUSES INCORPORATED BY REFERENCE (FAR 52.252-2) (FEB 1998)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at this/these address(es):

http://www.arnet.gov/far/

http://www.epa.gov/oam/ptod/epaar.pdf/

## 1.6 AUTHORIZED DEVIATIONS IN CLAUSES (FAR 52.252-6) (APR 1984)

- (a) The use in this solicitation or contract of any Federal Acquisition Regulation (48 CFR Chapter 1) clause with an authorized deviation is indicated by the addition of "DEVIATION" after the date of the clause.
- (b) The use in this solicitation or contract of any Environmental Protection Agency (48 CFR Chapter 15) clause with an authorized deviation is indicated by the addition of "DEVIATION" after the name of the regulation.

# PART III - LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

# SECTION J - LIST OF ATTACHMENTS

# J.1 LIST OF ATTACHMENTS (EP 52.252-100) (APR 1984)

Number	Attachment Title
1	STATEMENT OF WORK
2	TECHNICAL PROPOSAL INSTRUCTIONS
3	PRICE PROPOSAL PREPARATION INSTRUCTIONS
4	INVOICE PREPARATION INSTRUCTIONS
5	PAST PERFORMANCE QUESTIONNAIRE
6	CLIENT AUTHORIZATION LETTER

#### PART IV - REPRESENTATIONS AND INSTRUCTIONS

#### SECTION K - REPRESENTATIONS, CERTIFICATIONS, AND OTHER STATEMENTS OF OFFERORS

# K.1 CERTIFICATE OF INDEPENDENT PRICE DETERMINATION (FAR 52.203-2) (APR 1985)

- (a) The offeror certifies that--
- (1) The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror or competitor relating to
  (i) those prices, (ii) the intention to submit an offer, or (iii) the methods or factors used to calculate the prices offered;
- (2) The prices in this offer have not been and will not be knowingly disclosed by the offeror, directly or indirectly, to any other offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and
- (3) No attempt has been made or will be made by the offeror to induce any other concern to submit or not to submit an offer for the purpose of restricting competition.
- (b) Each signature on the offer is considered to be a certification by the signatory that the signatory--
- (1) Is the person in the offeror's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or
- (2)(i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above

[Insert full name of person(s) in the offeror's organization responsible for determining the prices offered in the bid or proposal, and the title of his

or her position in the offeror's organization];

- (ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and
- (iii) As an agent, has not personally participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above.
- (c) If the offeror deletes or modifies subparagraph (a)(2) above, the offeror must furnish with its offer a signed statement setting forth in detail the circumstances of the disclosure.

# K.2 CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (FAR 52.203-11) (APR 1991)

- (a) The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation on Payments to Influence Certain Federal Transactions, included in this solicitation, are hereby incorporated by reference in paragraph (b) of this certification.
- (b) The offeror, by signing its offer, hereby certifies to the best of his or her knowledge and belief that on or after December 23, 1989--
- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the offeror shall complete and submit OMB standard form LLL, Disclosure of Lobbying Activities to the Contracting Officer; and
- (3) He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.

(c) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31, United States Code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

#### K.3 TAXPAYER IDENTIFICATION (FAR 52.204-3) (OCT 1998)

#### (a) Definitions.

"Common parent," as used in this provision, means that corporate entity that owns or controls an affiliated group of corporations that files its Federal income tax returns on a consolidated basis, and of which the offeror is a member.

"Taxpayer Identification Number (TIN)," as used in this provision, means the number required by the Internal Revenue Service (IRS) to be used by the offeror in reporting income tax and other returns. The TIN may be either a Social Security Number or an Employer Identification Number.

- (b) All offerors must submit the information required in paragraphs (d) through (f) of this provision to comply with debt collection requirements of 31 U.S.C. 7701(c) and 3325(d), reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M, and implementing regulations issued by the IRS. If the resulting contract is subject to the payment reporting requirements described in Federal Acquisition Regulation (FAR) 4.904, the failure or refusal by the offeror to furnish the information may result in a 31 percent reduction of payments otherwise due under the contract.
- (c) The TIN may be used by the Government to collect and report on any delinquent amounts arising out of the offeror's relationship with the Government (31 U.S.C. 7701(c)(3)). If the resulting contract is subject to the payment reporting requirements described in FAR 4.904, the TIN provided hereunder may be matched with IRS records to verify the accuracy of the offeror's TIN.

(d)	Taxpayer Identification Number (TIN).
[ ]	TIN:
[ ]	TIN has been applied for.
[ ]	TIN is not required because:
par	Offeror is a nonresident alien, foreign corporation, or foreign thership that does not have income effectively connected with the conduct
_	tnership that does not have income effectively connected with the conduct

United States;
[ ] Offeror is an agency or instrumentality of a foreign government;
[ ] Offeror is an agency or instrumentality of the Federal Government.
(e) Type of organization.
[ ] Sole proprietorship;
[ ] Partnership;
[ ] Corporate entity (not tax-exempt);
[ ] Corporate entity (tax-exempt);
[ ] Government entity (Federal, State, or local);
[ ] Foreign government;
[ ] International organization per 26 CFR 1.6049-4;
[ ] Other
(f) Common parent.
[ ] Offeror is not owned or controlled by a common parent as defined in paragraph (a) of this provision.
[ ] Name and TIN of common parent:
Name
TIN

does not have an office or place of business or a fiscal paying agent in the

# K.4 WOMEN-OWNED BUSINESS (OTHER THAN SMALL BUSINESS) (FAR 52.204-5) (MAY 1999)

- (a) Definition. "Women-owned business concern," as used in this provision, means a concern that is at least 51 percent owned by one or more women; or in the case of any publicly owned business, at least 51 percent of its stock is owned by one or more women; and whose management and daily business operations are controlled by one or more women.
- (b) Representation. [Complete only if the offeror is a women-owned business concern and has not represented itself as a small business concern in paragraph (b)(1) of FAR 52.219-1, Small Business Program Representations,

of this solicitation.] The offeror represents that it [ ] is, [ ] is not a women-owned business concern.

# K.5 CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS (FAR 52.209-5) (DEC 2001)

- (a)(1) The Offeror certifies, to the best of its knowledge and belief, that  $\,$ 
  - (i) The Offeror and/or any of its Principals -
- (A) Are [ ] are not [ ] presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
- (B) Have [] have not [], within a 3-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and
- (C) Are [ ] are not [ ] presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subdivision (a)(1)(i)(B) of this provision.
- (ii) The Offeror has [ ] has not [ ], within a 3-year period
  preceding this offer, had one or more contracts terminated for default by any
  Federal agency.
- (2) "Principals," for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions).

THIS CERTIFICATION CONCERNS A MATTER WITHIN THE JURISDICTION OF AN AGENCY OF THE UNITED STATES AND THE MAKING OF A FALSE, FICTITIOUS, OR FRAUDULENT CERTIFICATION MAY RENDER THE MAKER SUBJECT TO PROSECUTION UNDER SECTION 1001, TITLE 18, UNITED STATES CODE.

(b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

- (c) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.
- (d) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- (e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this solicitation for default.

#### K.6 PLACE OF PERFORMANCE (FAR 52.215-6) (OCT 1997)

- (a) The offeror or respondent, in the performance of any contract resulting from this solicitation,  ${\bf G}$  intends,  ${\bf G}$  does not intend [check applicable block] to use one or more plants or facilities located at a different address from the address of the offeror or respondent as indicated in this proposal or response to request for information.
- (b) If the offeror or respondent checks "intends" in paragraph (a) of this provision, it shall insert in the following spaces the required information:

Place of Performance	Name and Address of Owner
(Street Address, City,	and Operator of the Plant
State, County, Zip Code)	or Facility if Other than
	Offeror or Respondent

#### K.7 SMALL BUSINESS PROGRAM REPRESENTATIONS (FAR 52.219-1) (APR 2002)

- (a)(1) The North American Industry Classification System (NAICS) code for this acquisition is 541620.
  - (2) The small business size standard is \$6 million.
- (3) The small business size standard for a concern which submits an offer in its own name, other than on a construction or service contract, but

which proposes to furnish a product which it did not itself manufacture, is 500 employees.

#### (b) Representations.

- (1) The offeror represents as part of its offer that it [ ]is, [ ]is not a small business concern.
- (2) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents, for general statistical purposes, that it [] is, [] is not, a small disadvantaged business concern as defined in 13 CFR 124.1002.
- (3) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents as part of its offer that it [] is, [] is not a women-owned small business concern.
- (4) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offferor represents as part of its offer that it [] is, [] is not a veteran-owned small business concern.
- (5) [Complete only if the offeror represented itself as a veteran-owned small business concern in paragraph (b)(4) of this provision.] The offeror represents as part of its offer that is []is, []is not a service-disabled veteran-owned small business concern.
- (6) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents, as part of its offer, that--
- (i) It [ ] is, [ ] is not a HUBZone small business concern listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration, and no material change in ownership and control, principal office, or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR part 126; and
- (ii) It [] is, [] is not a joint venture that complies with the requirements of 13 CFR part 126, and the representation in paragraph (b)(6)(i) of this provision is accurate for the HUBZone small business concern or concerns that are participating in the joint venture. [The offeror shall enter the name or names of the HUBZone small business concern or concerns that are participating in the joint venture:\_\_\_\_\_\_.] Each HUBZone small business concern participating in the joint venture shall submit a separate signed copy of the HUBZone representation.

(c) Definitions. As used in this provision--

"Service-disabled veteran-owned small business concern"-

- (1) Means a small business concern-
- (i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and
- (ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.
- (2) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).

"Small business concern," means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria in 13 CFR Part 121 and the size standard in paragraph (a) of this provision.

"Veteran-owned small business concern" means a small business concern-

- (1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and
- (2) The management and daily business operations of which are controlled by one or more veterans.

"Women-owned small business concern," means a small business concern --

- (1) That is at least 51 percent owned by one or more women; or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and
- (2) Whose management and daily business operations are controlled by one or more women.
  - (d) Notice.
    - (1) If this solicitation is for supplies and has been set aside, in

whole or in part, for small business concerns, then the clause in this solicitation providing notice of the set-aside contains restrictions on the source of the end items to be furnished.

- (2) Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small, HUBZone small, small disadvantaged, or women-owned small business concern in order to obtain a contract to be awarded under the preference programs established pursuant to section 8(a), 8(d), 9, or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall --
  - (i) Be punished by imposition of fine, imprisonment, or both;
- (ii) Be subject to administrative remedies, including suspension and debarment; and
- (iii) Be ineligible for participation in programs conducted under the authority of the Act.

#### K.8 SMALL DISADVANTAGED BUSINESS STATUS (FAR 52.219-22) (OCT 1999)

- (a) General. This provision is used to assess an offeror's small disadvantaged business status for the purpose of obtaining a benefit on this solicitation. Status as a small business and status as a small disadvantaged business for general statistical purposes is covered by the provision at FAR 52.219-1, Small Business Program Representation.
- (b) Representations.(1) General. The offeror represents, as part of its offer, that it is a small business under the size standard applicable to this acquisition; and either--
- [ ] (i) It has received certification by the Small Business Administration as a small disadvantaged business concern consistent with 13 CFR 124, Subpart B; and
- (A) No material change in disadvantaged ownership and control has occurred since its certification;
- (B) Where the concern is owned by one or more disadvantaged individuals, the net worth of each individual upon whom the certification is based does not exceed \$750,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104(c)(2); and
- (C) It is identified, on the date of its representation, as a certified small disadvantaged business concern in the database maintained by the Small Business Administration (PRO-Net); or
  - [ ] (ii) It has submitted a completed application to the Small Business

Administration or a Private Certifier to be certified as a small disadvantaged business concern in accordance with 13 CFR 124, Subpart B, and a decision on that application is pending, and that no material change in disadvantaged ownership and control has occurred since its application was submitted.

(2) [ ] For Joint Ventures. The offeror represents, as part of its
offer, that it is a joint venture that complies with the requirements at 13
CFR 124.1002(f) and that the representation in paragraph (b)(1) of this
provision is accurate for the small disadvantaged business concern that is
participating in the joint venture. [The offeror shall enter the name of the
small disadvantaged business concern that is participating in the joint
venture: .1

- (c) Penalties and Remedies. Anyone who misrepresents any aspects of the disadvantaged status of a concern for the purposes of securing a contract or subcontract shall:
  - (1) Be punished by imposition of a fine, imprisonment, or both;
- (2) Be subject to administrative remedies, including suspension and debarment; and
- (3) Be ineligible for participation in programs conducted under the authority of the Small Business Act.

#### K.9 PROHIBITION OF SEGREGATED FACILITIES (FAR 52.222-21) (FEB 1999)

- (a) "Segregated facilities," as used in this clause, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of written or oral policies or employee custom. The term does not include separate or single-user rest rooms or necessary dressing or sleeping areas provided to assure privacy between the sexes.
- (b) The Contractor agrees that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The Contractor agrees that a breach of this clause is a violation of the Equal Opportunity clause in this contract.
  - (c) The Contractor shall include this clause in every subcontract and

purchase order that is subject to the Equal Opportunity clause of this contract.

# K.10 PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FAR 52.222-22) (FEB 1999)

The offeror represents that--

- (a) It [ ] has, [ ] has not participated in a previous contract or subcontract subject to the Equal Opportunity clause of this solicitation;
  - (b) It [ ] has, [ ] has not filed all required compliance reports; and
- (c) Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.

#### K.11 AFFIRMATIVE ACTION COMPLIANCE (FAR 52.222-25) (APR 1984)

The offeror represents that--

(a) It [ ] has developed and has on file, [ ] has not developed and does not have on file, at each establishment, affirmative action programs required by the rules and regulations of the Secretary of Labor (41 CFR 60-1 and 60-2), or (b) It [ ] has not previously had contracts subject to the written affirmative action programs requirement of the rules and regulations of the Secretary of Labor.

#### K.12 COMPLIANCE WITH VETERANS' EMPLOYMENT REPORTING REQUIREMENTS (FAR 52.222-38) (DEC 2001)

By submission of its offer, the offeror represents that, if it is subject to the reporting requirements of 38 U.S.C. 4212(d) (i.e., if it has any contract containing Federal Acquisition Regulation clause 52.222-37, Employment Reports on Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans), it has submitted the most recent VETS-100 Report required by that clause.

#### K.13 RECOVERED MATERIAL CERTIFICATION (FAR 52.223-4) (OCT 1997)

As required by the Resource Conservation and Recovery Act of 1976 (42 U.S.C. 6962(c)(3)(A)(i)), the offeror certifies, by signing this offer, that the percentage of recovered material to be used in the performance of the contract will be at least the amount required by the applicable contract specifications.

# K.14 CERTIFICATION OF TOXIC CHEMICAL RELEASE REPORTING (FAR 52.223-13) (OCT 2000)

- (a) Submission of this certification is a prerequisite for making or entering into this contract imposed by Executive Order 12969, August 8, 1995.
  - (b) By signing this offer, the offeror certifies that--
- (1) As the owner or operator of facilities that will be used in the performance of this contract that are subject to the filing and reporting requirements described in section 313 of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023) and section 6607 of the Pollution Prevention Act of 1990(PPA) (42 U.S.C. 13106), the offeror will file and continue to file for such facilities for the life of the contract the Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of EPCRA and section 6607 of PPA; or
- (2) None of its owned or operated facilities to be used in the performance of this contract is subject to the Form R filing and reporting requirements because each such facility is exempt for at least one of the following reasons: [Check each block that is applicable.]
- [] (i) The facility does not manufacture, process, or otherwise use any toxic chemicals listed under section 313(c) of EPCRA, 42 U.S.C. 11023(c);
- [] (ii)The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);
- [] (iii) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);
- [] (iv) The facility does not fall within Standard Industrial Classification Code (SIC) major groups 20 through 39 or their corresponding North American Industry Classification System (NAICS) sectors 31 through 33; or
- [] (v) The facility is not located within any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, the Northern Mariana Islands, or any other territory or possession over which the United States has jurisdiction.

# K.15 CURRENT/FORMER AGENCY EMPLOYEE INVOLVEMENT CERTIFICATION (EPAAR 1552.203-70) (APR 1984)

The offeror (quoter) hereby certifies that:

(a) He is [ ] is not [ ] a former regular or special EPA employee whose EPA employment terminated within one year prior to

submission of this offer (quote).

- (b) He does [ ] does not [ ] employ or propose to employ a current/ former regular or special EPA employee whose EPA employment terminated within one year prior to submission of this offer (quote) and who has been or will be involved, directly or indirectly, in developing or negotiating this offer (quote) for the offeror (quoter), or in the management, administration or performance of any contract resulting from this offer (quote).
- (c) He does [ ] does not [ ] employ or propose to employ as a consultant or subcontractor under any contract resulting from this offer (quote) a current/former regular or special EPA employee whose EPA employment terminated within one year prior to submission of this offer (quote).
- (d) A former regular or special EPA employee whose EPA employment terminated within one year prior to submission of this offer (quote) or such former employee's spouse or minor child does [ ] does not [ ] own or substantially own or control the offeror's (quoter's) firm.
- (e) "Regular employee" means any officer or employee of EPA who is employed or appointed, with or without compensation, to serve more than 130 days during any period of 365 consecutive days, including regular officers of the Public Health Service Commissioned Corps and reserve officers of the Public Health Service Commissioned Corps while on active duty.
- (f) "Special employee" means an officer or employee of EPA who is retained, designated, appointed or employed to perform, with or without compensation, temporary duties either on a full-time or intermittent basis for not more than 130 days during any period of 365 consecutive days and who actually served more than 60 days during such 365-day period.

# K.16 BUSINESS OWNERSHIP REPRESENTATION (EPAAR 1552.204-70) (JAN 2001)

The successful awardee should check one or more of the categories below that represents its business ownership and return this information to the contracting officer within ten (10) calendar days after award. Completion of this clause by the successful awardee is voluntary.

"Ownership," as used in this clause, means: (a) At least 51 percent of the concern is owned by one or more individuals from a category listed below; or, in the case of any publicly owned business, at least 51 percent of the stock of the concern is owned by one or more such individuals; and (b) The management and daily business operations of the concern are controlled by one

or more such individuals.
Ethnicity
[ ] Hispanic or Latino. [ ] Not Hispanic or Latino.
Race
<ul><li>[ ] American Indian, Eskimo, or Aleut.</li><li>[ ] Asian or Pacific Islander.</li><li>[ ] Black or African American.</li><li>[ ] White.</li></ul>
<pre>K.17 ORGANIZATIONAL CONFLICT OF INTEREST CERTIFICATION (EPAAR 1552.209-72)</pre>
The offeror [ ] is [ ] is not aware of any information bearing on the existence of any potential organizational conflict of interest. If the offeror is aware of information bearing on whether a potential conflict may exist, the offeror shall provide a disclosure statement describing this information. (See Section L of the solicitation for further information.)
K.18 SOCIAL SECURITY NUMBERS OF CONSULTANTS AND CERTAIN SOLE PROPRIETORS AND PRIVACY ACT STATEMENT (EPAAR 1552.224-70) (APR 1984)
(a) Section 6041 of Title 26 of the U.S. Code requires EPA to file Internal Revenue Service (IRS) Form 1099 with respect to individuals who receive payments from EPA under purchase orders or contracts. Section 6109 of Title 26 of the U.S. Code authorizes collection by EPA of the social security numbers of such individuals for the purpose of filing IRS Form 1099. Social security numbers obtained for this purpose will be used by EPA for the sole purpose of filing IRS Form 1099 in compliance with Section 6041 of Title 26 of the U.S. Code.
(b) If the offeror or quoter is an individual, consultant, or sole proprietor and has no Employer Identification Number, insert the offeror's or quoter's social security number on the following line.
K.19 SIGNATURE BLOCK (EP 52.299-900) (APR 1984)
I hereby certify that the responses to the above Representations, Certifications and other statements are accurate and complete.
Signature:

Title :\_\_\_\_\_

Date :\_\_\_\_\_

#### SECTION L - INSTRUCTIONS, CONDITIONS, AND NOTICES TO OFFERORS

#### L.1 NOTICE Listing Contract Clauses Incorporated by Reference

NOTICE:

The following solicitation provisions and/or contract clauses pertinent to this section are hereby incorporated by reference:

FEDERAL ACQUISITION REGULATION (48 CFR CHAPTER 1)

NUMBER DATE TITLE

52.204-6 SEP 1999 DATA UNIVERSAL NUMBERING SYSTEM (DUNS)

NUMBER

#### L.2 TYPE OF CONTRACT (FAR 52.216-1) (APR 1984)

The Government contemplates award of a Firm-Fixed-Price contract resulting from this solicitation.

#### L.3 SERVICE OF PROTEST (FAR 52.233-2) (AUG 1996)

(a) Protests, as defined in Section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the General Accounting Office (GAO) shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgement of receipt from:

Sandra V. Savage

Hand-Carried Address:

Environmental Protection Agency 4411 Montgomery Road Norwood, OH 45212

Mailing Address:

Environmental Protection Agency 26 W. Martin Luther King Drive Cincinnati, OH 45268-7001

(b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.

# L.4 SOLICITATION PROVISIONS INCORPORATED BY REFERENCE (FAR 52.252-1) (FEB 1998)

This solicitation incorporates one or more solicitation provisions by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. The offeror is cautioned that the listed provisions may include blocks that must be completed by the offeror and submitted with its quotation or offer. In lieu of submitting the full text of those provisions, the offeror may identify the provision by paragraph identifier and provide the appropriate information with its quotation or offer. Also, the full text of a solicitation provision may be accessed electronically at this/these address(es):

http://www.arnet.gov/far/

http://www.epa.gov/oam/ptod/epaar.pdf/

# L.5 ORGANIZATIONAL CONFLICT OF INTEREST NOTIFICATION (EPAAR 1552.209-70) (APR 1984)

- (a) The prospective Contractor certifies, to the best of its knowledge and belief, that it is not aware of any information bearing on the existence of any potential organizational conflict of interest. If the prospective Contractor cannot so certify, it shall provide a disclosure statement in its proposal which describes all relevant information concerning any past, present, or planned interests bearing on whether it (including its chief executives and directors, or any proposed consultant or subcontractor) may have a potential organizational conflict of interest.
- (b) Prospective Contractors should refer to FAR Subpart 9.5 and EPAAR Part 1509 for policies and procedures for avoiding, neutralizing, or mitigating organizational conflicts of interest.
- (c) If the Contracting Officer determines that a potential conflict exists, the prospective Contractor shall not receive an award unless the conflict can be avoided or otherwise resolved through the inclusion of a special contract clause or other appropriate means. The terms of any special clause are subject to negotiation.

#### L.6 PAST PERFORMANCE INFORMATION (EPAAR 1552.215-75) (OCT 2000)

(a) Offerors shall submit the information requested below as part of their proposal for both the offeror and any proposed subcontractors for

subcontracts expected to exceed \$100,000. The information may be submitted prior to other parts of the proposal in order to assist the Government in reducing the evaluation period.

- (b) Offerors shall submit a list of all or at least  $\underline{5}$  contracts and subcontracts completed in the last  $\underline{3}$  years, and all contracts and subcontracts currently in process, which are similar in nature to this requirement.
- (1) The contracts and subcontracts listed may include those entered into with Federal, State and local governments, and commercial businesses, which are of similar scope, magnitude, relevance, and complexity to the requirement which is described in the RFP. Include the following information for each contract and subcontract listed:
  - (a) Name of contracting activity.
  - (b) Contract number.
  - (c) Contract title.
  - (d) Contract type.
- (e) Brief description of contract or subcontract and relevance to this requirement.
  - (f) Total contract value.
  - (q) Period of performance.
- (h) Contracting officer, telephone number, and E-mail address (if available).
- (i) Program manager/project officer, telephone number, and E-mail address (if available).
- (j) Administrative Contracting officer, if different from (h)above, telephone number, and E-mail address (if available).
  - (k) List of subcontractors (if applicable).
- (1) Compliance with subcontracting plan goals for small disadvantaged business concerns, monetary targets for small disadvantaged business participation, and the notifications submitted under FAR 19.1202-4 (b), if applicable.
- (c) Offerors should not provide general information on their performance on the identified contracts and subcontracts. General performance information will be obtained from the references.
- (1) Offerors may provide information on problems encountered and corrective actions taken on the identified contracts and subcontracts.
- (2) References that may be contacted by the Government include the contracting officer, program manager/project officer, or the administrative contracting officer identified above.
- (3) If no response is received from a reference, the Government will make an attempt to contact another reference identified by the offeror, to contact a reference not identified by the offeror, or to complete the

evaluation with those references who responded. The Government shall consider the information provided by the references, and may also consider information obtained from other sources, when evaluating an offeror's past performance.

- (4) Attempts to obtain responses from references will generally not go beyond two telephonic messages and/or written requests from the Government, unless otherwise stated in the solicitation. The Government is not obligated to contact all of the references identified by the offeror.
- (d) If negative feedback is received from an offeror's reference, the Government will compare the negative response to the responses from the offeror's other references to note differences. A score will be assigned appropriately to the offeror based on the information. The offeror will be given the opportunity to address adverse past performance information obtained from references on which the offeror has not had a previous opportunity to comment, if that information makes a difference in the Government's decision to include the offeror in or exclude the offeror from the competitive range. Any past performance deficiency or significant weakness will be discussed with offerors in the competitive range during discussions.
- (e) Offerors must send Client Authorization Letters (see Section J of the solicitation) to each reference listed in their proposal to assist in the timely processing of the past performance evaluation. Offerors are encouraged to consolidate requests whenever possible (i.e., if the same reference has several contracts, send that reference a single notice citing all applicable contracts). Offerors may send Client Authorization Letters electronically to references with copies forwarded to the contracting officer.
- (1) If an offeror has no relevant past performance history, an offeror must affirmatively state that it possesses no relevant past performance history.
- (2) Client Authorization Letters should be mailed or E-mailed to individual references no later than five (5) working days after proposal submission. The offeror should forward a copy of the Client Authorization Letter to the contracting officer simultaneously with mailing to references.
- (f) Each offeror may describe any quality awards or certifications that indicate the offeror possesses a high-quality process for developing and producing the product or service required. Such awards or certifications include, for example, the Malcolm Baldrige Quality Award, other Government quality awards, and private sector awards or certifications.
- (1) Identify the segment of the company (one division or the entire company) which received the award or certification.
  - (2) Describe when the award or certification was bestowed. If the award

or certification is over three years old, present evidence that the qualifications still apply.

- (g) Past performance information will be used for both responsibility determinations and as an evaluation factor for award. The Past Performance Questionnaire identified in section J will be used to collect information on an offeror's performance under existing and prior contracts/subcontracts for products or services similar in scope, magnitude, relevance, and complexity to this requirement in order to evaluate offerors consistent with the past performance evaluation factor set forth in section M. References other than those identified by the offeror may be contacted by the Government and used in the evaluation of the offeror's past performance.
- (h) Any information collected concerning an offeror's past performance will be maintained in the official contract file.
- (i) In accordance with FAR 15.305 (a) (2) (iv), offerors with no relevant past performance history, or for whom information on past performance is not available, will be evaluated neither favorably nor unfavorably on past performance.

#### L.7 TECHNICAL QUESTIONS (EP 52.215-110) (APR 1984)

Offerors must submit all technical questions concerning this solicitation in writing to the contract specialist. EPA must receive the questions no later than  $\underline{10}$  calendar days after the date of this solicitation. EPA will answer questions which may affect offers in an amendment to the solicitation. EPA will not reference the source of the questions.

# L.8 RELEASE OF COST OR PRICING PROPOSALS OUTSIDE THE GOVERNMENT FOR AUDIT (EP 52.215-115) (MAR 1989)

Cost or pricing proposals submitted in response to this solicitation may be released outside the Government for audit purposes regardless of whether information contained in such proposals has been claimed or determined to be business confidential. If an outside audit is obtained, the non-Government auditor shall use the information only for audit purposes; shall not disclose any information in the proposals to anyone other than authorized EPA employees without the prior written approval of the Assistant General Counsel responsible for information law matters; and shall return all copies of proposals, as well as any abstracts, to the Government upon completion of the audit. The non-Government auditor shall obtain a written agreement from each of its employees with access to the proposals to honor these limitations prior to allowing the employee access.

# L.9 IDENTIFICATION OF SET-ASIDE/8A PROGRAM APPLICABILITY (EP 52.219-100) (FEB 1991)

This new procurement is being processed as follows:

(a) Type of set-aside: No Applicable Set-Aside

Percent of the set-aside: 0%

(b) 8(a) Program: Not Applicable

# L.10 NOTICE OF FILING REQUIREMENTS FOR AGENCY PROTESTS (EPAAR 1552.233-70) (JUL 1999)

Agency protests must be filed with the Contracting Officer in accordance with the requirements of FAR 33.103(d) and (e). Within 10 calendar days after receipt of an adverse Contracting Officer decision, the protester may submit a written request for an independent review by the Head of the Contracting Activity. This independent review is available only as an appeal of a Contracting Officer decision on a protest. Accordingly, as provided in 4 CFR 21.2(a)(3), any protest to the GAO must be filed within 10 days of knowledge of the initial adverse Agency action.

# SECTION M - EVALUATION FACTORS FOR AWARD

#### M.1 EVALUATION FACTORS FOR AWARD

The Government will make award to the responsible offeror(s) whose offer conforms to the solicitation in accordance with Attachment 2.

ATTACHMENT 1

STATEMENT OF WORK

# STATEMENT OF WORK

# Review of Water Quality Models and Research Needs for Total Maximum Daily Load (TMDL) Development

#### **BACKGROUND**

All states through the Clean Water Act provision (CWA) [Section 303(d)] are required to develop and implement TMDL for their impaired water bodies and water bodies threatened to become impaired. While considerable improvements have been achieved in reducing the amount of point source pollution from factories and municipalities being discharged into US waters, over 40 percent of the Nation's water bodies remain impaired in that they do not meet water quality standards for their designated use (USEPA 1996). Much of this is attributed to nonpoint or diffuse-source pollution (NPSP). NPSP arises from distributed sources over large areas, such as from agricultural fields and city drainage systems. Water quality parameters of concern include sedimentation, nutrients, pathogens, and toxics (organic and inorganic). The control of such contaminants arising from NPSP is difficult because the source areas can be hard to identify and typical treatment methods are infeasible due to the distributed nature of the pollutants. Developing a TMDL implies establishing a cause-and-effect relationship. This relationship is often complex, and its development involves a consideration of dynamic nature of pollutant characteristics from point and non-point sources, with physical, chemical, and biological, and transport and transformation processes in the receiving surface water bodies. To that end, process-based transport and fate models offer effective alternative to labor intensive and costly monitoring programs for quantifying potential benefits of planned restorations of disturbed watersheds, and for evaluating the efficacy of BMPs. Future research is warranted in improving process-based water quality models in watersheds and receiving water bodies, and in understanding the mechanics of the response of aquatic ecosystems to multiple stressors.

Formulating a comprehensive modeling system with dynamically linked modules, preprocessing of input data, and post processing of output data using user friendly graphical interfaces (GUI) is imperative to resource managers who apply water quality models for decision making. The linkage among different modules provides the capability to predict the effects of land use changes, agricultural practices, and vegetative management/restoration (including wetland/riparian buffer zone creation and restoration) on sediment yield and water quality, and allows for optimal design of BMPs. The responsibility of managing information exchange between the various models in a watershed modeling system, which is the task of experienced computer programmers, needs to be removed from water quality managers and model users. To that end, the needs for linkage capabilities among the various models and across multimedia to formulate integrated watershed modeling systems will be critical to the implementation of TMDL.

#### SCOPE OF WORK

This contract is to develop a report that summarizes models including those currently available to states that can be used to support Total Maximum Daily Load (TMDL) development and implementation for sediments (clean and contaminated), nutrients, toxic substances, and pathogens, and develop criteria for models selection. The document shall update previous efforts (see suggested references in the appendix) and focus on formulating water quality modeling systems for TMDL analysis, rather than reviewing individual models. The contractor shall provide a suite of process based and/or empirical models, describe their characteristics, and establish evaluation criteria based on which models are selected for TMDL estimation and allocation. The ability of selected models to evaluate the efficacy of alternative structural and nonstructural Best Management Practices (BMPs) should be particularly emphasized in the evaluation process. The main focus of the evaluation, however, shall be on formulating a functional watershed modeling system from individual water quality models across multimedia for TMDL-BMP selection problem (i.e., where and when to put BMP's, etc.). Integrated modeling systems (hydrodynamic, sediment transport, contaminant transport, watershed loading and air-deposition, and ecological) provide the capability to predict the effects of land use changes and evaluate effectiveness of BMPs on flow, sediments budgets, and water quality. The review shall cover models for transport and fate of pollutants such as mercury and nitrogen in the air and across watersheds, including existing efforts to link, among others, sources of mercury and its atmospheric deposition to watershed models. The subsurface/groundwater pathway as an integrated component of the watershed shall also be given attention in the review.

Finally, the contractor shall identify areas for which further research could improve state-of-the-science and state-of-the-art of quality models. The review shall highlight potential drawbacks and gaps in processes modeling (state-of-the-science) of sediments, nutrients, toxics, and pathogens, and identify potential improvements to the current state-of-the-art (preprocessing, post-processing, GUI and models' linkage, incurred level of effort and cost, etc.). The review document in its final form shall assist the user in selecting an appropriate watershed model or formulate a watershed modeling system (i.e., externally linked modules) for TMDL development and cost-based design of BMPs to achieve desired load reductions, and identify models that are currently under development and would be available in the near future.

# **OBJECTIVES**

The objectives are to provide a review document that: (1) evaluates hydrodynamic and water quality models that can be used by states for developing and implementing TMDL for sediments, nutrients, and toxins, and the design of cost-effective BMPs; and (2) identify areas in which further research and development could improve the performance and usability of currently used models.

# Task 1 Overview of Water Quality Models

Based on states TMDL program needs, the contractor shall provide an overview of models and modeling systems that can be used in estimating and allocating TMDL for sediment, nutrients (nitrogen, phosphorous, organic carbon), toxics (including mercury), and pathogens in watersheds. The overview shall include, but be not limited to, widely used models (hydrodynamic, hydrologic and water quality) that are listed in the appendix. Previously published efforts and reports related to review and surveys of variety of water quality models are also included in the appendix. The contractor shall develop a classification scheme, group models according to their intended use (refer to the suggested model in the appendix), and provide an overview of background theory and characteristics of the models. The contractor shall also provide an overview of existing watershed modeling systems (i.e., a group of linked models) and propose potentially new modeling systems for management of the above stressors in mixed-landuse watersheds. The report shall document, among others, current state-of-the-science and state-of-the-art in modeling transport and fate of Mercury in watersheds. The contractor shall submit a hard copy of a draft report to the EPA Project Officer for comments within 150 days from contract award. The EPA Project Officer shall return review comments to the contractor within 28 days. After the contractor receives the EPA Project Officer review comments, within 14 days the contractor shall submit the final report as a Microsoft Word document electronically, via diskette along with a hard copy.

#### Task 2 Model Evaluation

The contractor shall develop evaluation criteria for models identified in Task 1. The evaluation should focus on formulating integrated modeling systems and their suitability for TMDL analysis. The contractor shall deliver a draft outline of model evaluation strategy to the Project Officer for comments within 6 months from contract award. This document shall be an overview not to exceed 10 pages. The contractor shall evaluate the models according to the evaluation criteria and rank their capability to perform a comprehensive TMDL analysis for sediment, nutrients, toxics, and pathogens. The level of effort, training, and the user interface with models should be given particular attention. Those models that formulate a comprehensive modeling system (internally-linked) or lend themselves to external linkages to formulate a comprehensive watershed modeling systems shall be emphasized. The contractor shall document potential obstacles arising from incompatibility between different modules and recommend remedial measures. The contractor shall document two successful TMDL applications (nutrients and mercury) and provide a detailed picture of all models and linkages between the models involved in each case study. The contractor shall deliver a draft document of model evaluation to the Project Officer for comments within 300 days from contract award. The EPA Project Officer shall return review comments to the contractor within 28 days. The information from this task will be included in the second final report.

# Task 3 Future Research Needs

The contractor shall explore further research areas related to processes modeling of sediments, nutrients, toxics, and pathogens, and linkage of airborne constituents (mercury, nitrogen, and others) to watershed models and receiving water bodies. The research review shall identify future needs by states to develop and/or improve current practices for modeling TMDL. The review shall also consider research related to improving the state of the technology and the users interface with watershed computer models (e.g., GIS database management, pre- and post-processing, model linkages, and graphical user interfaces). Potential use of systems analysis and optimization-based approaches (e.g., genetic algorithms GA and artificial neural networks ANNS) for evaluation of abatement strategies for TMDL development and cost-effective design of BMPs are suggested research and development topics. The contractor shall deliver a draft document of research needs to the Project Officer for comments within 360 days from contract award. The EPA Project Officer shall return review comments to the contractor within 28 days. After the contractor receives the EPA Project Officer review comments, within 14 days the contractor shall submit the final report. This final report shall include the draft model evaluation from Task 2. This information shall be submitted as a Microsoft Word document electronically, via diskette along with a hard copy.

#### **APPENDIX**

# **Suggested References**

- Carousel, R. and E. J. Hayter. 1999. Selection of Eutrophication Models for Total Maximum Daily Loads Analyses Based on Committee on Regulatory environmental Modeling Guidelines. U.S. Environmental Protection Agency.
- Donation, A. S., Jr., J. C. I Hoff, and R. B. Ambrose, Jr. 1995. Modeling Watershed Water Quality. Chapter 11 in *Environmental Hydrology*, V. P. Singh, Ed., Kluwer Academic Publishers, Dordrecht, The Netherlands.
- Martin, J. L., S. C. McCutcheon, and R. W. Schottman. 1998. *Hydrodynamics and Transport for Water Quality Modeling*. Lewis Publishers, Boca Raton, Fl.
- NCSU Water Quality Group. 2000. National Management Measures to Control Nonpoint Source Pollution from Agriculture. Draft Report prepared for Office of Water, U.S. Environmental Protection Agency.
- Shoemaker, L., M., M. Lahlou, M. Bryer, D. Kumar, and K. Kratt. 1997. Compendium of Tools for Watershed Assessment and TMDL Development. EPA841-B-97-006. Prepared for U.S. Environmental Protection Agency, Office of Wetlands, Oceans, and Watersheds, Assessment and Watershed

Protection Division, Washington DC.

Tetra Tech, Inc. 2000. Overview of Sediment-Contaminated Transport and Fate Models for Use in Making Site-Specific Contaminated Sediment Remedial Action Decisions. Draft Report prepared for the U. S. Environmental Protection Agency, OERR(5204G).

U.S. Environmental Protection Agency. 1997. Technical Evaluation of Existing Water Quality Models and Their suitability for Use in Developing Sediment Quality-Based NPDES Permits (Final Draft). Office of Science and Technology, Washington DC.

U.S. Environmental Protection Agency. 1996. National Water Quality Inventory: 1996 report to congress. U.S. Environmental Protection Agency, Office of Water.

Versar, Inc. 1996. Exposure Models Library and Integrated Model Evaluation System. CD prepared for U.S. Environmental Protection Agency, Office of Research and Development, Washington DC.

Fitzpatrick, J., J. Imhoff, E. Burgess, and R. Brashear. 2001. Water Quality Models: A Survey and Assessment. Water Environment Research Foundation. CD ROM, stock no. D13209.

WEST Consultants, Inc. 1996. Evaluating Hydrodynamic and Contaminant Transport Computer Models for Application to Duamish River Elliot Bay. Prepared for King County Dept. Of Natural Resources, Water Pollution Control Division, Seattle, WA.

# **Suggested Models**

DIAS/IDLMAS (Argonne's landscape modeling system)

AGNPS (Agricultural Nonpoint Source Pollution Model)

AnnAGNPS 2001

**AQUATOX** 

**BASINS** 

**BEAMS** 

CASC2D-SED

CCHE<sub>1</sub>D

CE-QUAL-ICM, CE-QUAL-W2, CE-QUAL-ICM/TOXI

CFD (Computational Fluid dynamic)

CH3D-SED

CREAMS (Chemical Runoff Erosion in Agricultural Management Systems)

DELFT3D

**DRAINMOD** 

**EFDC** 

EPIC (Erosion/Productivity Impact Calculator)

GMS (Groundwater Modeling System)

GWLF (General Watershed Loading Functions)

HEC6, HEC-HMS, HEC-6T, HEC-RAS

HSPF (Hydrologic Simulation Program Fortran)

HSCTM-2D

KINEROS2

MIKE 11, MIKE 21

QUAL2E, QUAL2E-UNCAS

RIPA

REMM (Riparian Ecosystem Management Model)

RMA11

SHE, SHESED

SLAMM (Source Loading and Management Model)

**SPARROW** 

STORM (Storage, Treatment, Overflow, and Runoff Model)

SWAT (Soil and Water Assessment Tool)

SWMM (Storm Water Management Model)

TOPMODEL

**TSEDH** 

USTM (Universal Storm Water Treatment Model)

WASP5 (Water Quality Analysis Simulation Program)

WEPP (Water Erosion Prediction Project)

WARMF (EPRI's Watershed Analysis Risk Management Framework)

WMS (Department of Defense Watershed Modeling System)

**GISPLM** 

ATTACHMENT 2

TECHNICAL PROPOSAL INSTRUCTIONS

# **TECHNICAL PROPOSAL INSTRUCTIONS**

This is a best value procurement. The Government will select the offeror for award that presents the best value (i.e. is the most advantageous) to the Government, past performance, technical capabilities, education, and price considered. For this requirement price <u>may not</u> be the deciding factor. Offeror's shall provide a technical proposal that specifically addresses each of the technical evaluation criterion set forth below. The offeror's overall proposal will be evaluated as indicated under each technical evaluation criteria.

# TECHNICAL EVALUATION CRITERIA

The below criteria are of equal significance to the Government:

1. **Demonstrated Past Performance**. Demonstrated past/recent performance of services of the type and scope as set forth in the statement of work. Include a description of the services; names of companies and/or agencies who services were provided to; dates of services; contract or assistance amount; for completed projects describe outcome as required in provision L.6.

Offeror's will be evaluated based on the following ratings:

0 =Unsatisfactory

1 = Poor

2 = Fair

3 = Good

4 = Excellent

5 = Outstanding

N/A = Not Applicable

Offeror's may be evaluated based on the following performance categories:

Quality

Cost Control

Timeliness of Performance

**Business Relations** 

Meeting Small Disadvantaged Business Subcontracting Requirements

2. **Demonstrated Technical Staff Capabilities**. Demonstrated technical capabilities of technical staff who will perform services as set forth in the statement of work. The offeror's will be evaluated on the following scale:

**Acceptable**: The offeror's proposal demonstrates experience in developing/applying hydrologic and water quality models in watersheds.

**Exceeds**: The offeror's proposal demonstrates experience in developing/applying watershed modeling systems (i. e., linking hydrodynamic and/or hydrologic, sediment transport, and water quality models) for TMDL development.

**Unacceptable**: The offeror's proposal does not meet the acceptable requirements as stated above.

3. **Education of Technical Staff**. Demonstrated education of technical staff who will perform services as set forth in the statement of work. The offeror's will be evaluated on the following scale:

**Acceptable**: Combination of Masters and Bachelors degrees in civil engineering and or environmental engineering.

**Exceeds**: A PhD in civil engineering and or environmental engineering.

**Unacceptable**: The offeror's proposal does not meet the acceptable requirements as stated above.

ATTACHMENT 3

PRICE PROPOSAL PREPARATION INSTRUCTIONS

# PRICE PROPOSAL PREPARATION INSTRUCTIONS

The offeror shall propose a firm fixed price as required in Clause B.1 Consideration and Payment – Total Fixed Price (EP 52.216-160) for pricing information for the services described in the "Statement of Work". The offeror shall present the supporting pricing information showing a breakdown of the total firm fixed price for each deliverable listed as follows:

Report Deliverable 2: _		30% of total contract price
-	Fixed Price	•
Report Deliverable 4: _	Fixed Price	40% of total contract price
Report Deliverable 6: _	Fixed Price	30% of total contract price
Grand Total:	Fixed Price	100%

The government reserves the right to require an additional price breakdown as needed.

ATTACHMENT 4

INVOICE PREPARATION INSTRUCTIONS

# INSTRUCTIONS ON INVOICE PREPARATION AND SUBMISSION

Contractors shall submit invoices upon delivery and acceptance of all supplies or services unless otherwise specified in the contract. Invoices shall be submitted as follows:

(1) One (1) original and two (2) copies of the invoice to:

U. S. Environmental Protection Agency Research Triangle Park Financial Management Center (Mail Code 32) Research Triangle Park, NC 27711

- (2) One (1) copy of the invoice to the Project Officer designated in the clause entitled "Contract Administration Representatives" (EP 52.242-100).
- (3) One (1) copy of the invoice to the Contract Officer designated in the clause entitled "Contract Administration Representatives" (EP 52.242-100).

ATTACHMENT 5

PAST PERFORMANCE QUESTIONNAIRE

# PAST PERFORMANCE QUESTIONNAIRE FOR AN INDIVIDUAL CONTRACT (Telephonic Responses)

Name of offeror:				
Contract Information:				
Name of Contractor:	Contract Number:			
Contract Title:	Contract Value:			
Type of Contract:	Period of Performance:			
The ratings indicated below are to be supplied by the contractor	r identified above and <b>not</b> the			

The ratings indicated below are to be supplied by the contractor identified above and **not** the offeror)

Performance Elements	OUTSTANDIN G	EXCELLEN T	GOOD	<u>FAIR</u>	<u>POO</u> <u>R</u>	<u>NA</u>
1. Quality of Product Or Service (compliance with contract requirements, accuracy of reports, technical excellence)						
2. <b>Cost Control</b> (ability to perform within budget, cost efficiency, provision of current, accurate, complete, timely billing)						

Performance Elements	OUTSTANDIN G	EXCELLEN T	GOOD	<u>FAIR</u>	<u>POO</u> <u>R</u>	<u>NA</u>
3. Timeliness of Performance (meeting milestones, reliability, responsiveness to technical direction, adherence to schedules)						
4. Business Relations (effective management, cooperative and proactive behavior, flexibility, responsiveness to problems, problem resolution, and customer satisfaction						
5. Attainment of Subcontracting Goals for SDB concerns, monetary targets for SDB participation, and notifications submitted under FAR 19.1202- 4(b)						
6. Overall Performance						

- Remarks on outstanding performance (Provide data supporting this observation. You may continue on a separate sheet if needed.)
- Remarks on unsatisfactory performance (Provide data supporting this observation. You May continue on separate sheet if needed.)

12.	Please identify any corporate affiliations with the offeror.		
13.	Would you do business with the offeror again?		
14.	Information provided by:		
	Name of Source		
	Title		
	Date		
	Address		
	Phone number		
15.	Questionnaire c	ompleted by:	
	Title		
	Date		
	Signature		

ATTACHMENT 6

CLIENT AUTHORIZATION LETTER

# **CLIENT AUTHORIZATION LETTER**

[Addressee]
Dear "Client":
We are currently responding to the Environmental Protection Agency RFP No. PR-CI-02-11291 for the procurement of On-site Technical Research Support Services for the National Risk Management Research Laboratory, Subsurface Protection and Remediation Division of the U.S. EPA Office of Research and Development. The EPA is placing increased emphasis in their acquisitions on past performance as a source selection factor. EPA requires offerors to inform references identified in proposals that EPA may contact them about past performance information.  If you are contacted by EPA for information on work we have performed under contract for your company, you are hereby authorized to respond to EPA inquiries.
Your cooperation is appreciated. Any questions may be directed to:
Sincerely,